

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231Docket No.: 600\_1033  
Date: April 25, 2003

In re application of: Kevin Francis ALBERT, et al.  
 Serial No.: 09/534,466  
 Filed: March 24, 2000  
 For: DEVICE AND METHOD FOR CONTROLLING WEB TENSION

Sir:

Transmitted herewith is a **Supplemental Information Disclosure Statement with PTO 1449 and Cited References** in the above-identified application.

Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.  
 Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.  
 No fee for additional claims is required.  
 A filing fee for additional claims calculated as shown below, is required:

| FOR:  | (Col. 1)           |                                  | (Col. 2)              |       | SMALL ENTITY<br>RATE | LARGE ENTITY<br>RATE | OR        | SMALL ENTITY<br>FEE | LARGE ENTITY<br>FEE |
|---|--------------------|----------------------------------|-----------------------|-------|----------------------|----------------------|-----------|---------------------|---------------------|
|   | REMAINING<br>AFTER | HIGHEST<br>PREVIOUSLY<br>PRESENT | AMENDMENT<br>PAID FOR | EXTRA |                      |                      |           |                     |                     |
| TOTAL CLAIMS                                  | * Minus**          | =                                | 0                     |       | x \$ 9   \$          |                      |           | x \$ 18   \$        |                     |
| INDEP. CLAIMS                                 | * Minus***         | =                                | 0                     |       | x \$ 42   \$         |                      |           | x \$ 84   \$        |                     |
| [ ] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |                    |                                  |                       |       | + \$140   \$         |                      |           | + \$280   \$        |                     |
|   |                    |                                  |                       |       |                      |                      | TOTAL: \$ | OR                  | TOTAL: \$           |

\* If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

Also transmitted herewith are:  
 Petition for extension under 37 C.F.R. 1.136 (in duplicate)  
 Other:

Check(s) in the amount of **\$180.00** is/are attached to cover:  
 Filing fee for additional claims under 37 C.F.R. 1.16  
 Petition fee for extension under 37 C.F.R. 1.136  
 Other: **Fee under 37 C.F.R. §1.17(p)**

The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.

Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.

Any patent application processing fees under 37 C.F.R. 1.17.

Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Cary S. Kappel, Reg. No. 36,561  
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I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Assistant Commissioner for Patents, Washington, D.C. 20231" on

April 25, 2003.

DAVIDSON, DAVIDSON &amp; KAPPEL, LLC

BY: Jan Decker

Jan Decker

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TC 2000 MAIL ROOM



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8-30-03  
Robert T.  
TDS

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Application of: **ALBERT et al.**

Serial No.: 09/534,466

Filed: March 24, 2000

For: **DEVICE AND METHOD FOR  
CONTROLLING WEB TENSION**

Examiner: Ren Yan Art Unit: 2854

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
BOX FEE

April 25, 2003

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicant hereby makes of record the documents listed on the accompanying PTO-1449 Form (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith some or all may not qualify as prior art under the U.S. patent laws.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (c)(2).

Herewith respectfully submitted is a check in the amount of \$180.00 in accordance with 37 C.F.R. § 1.17 (p).

In the event any additional fee is due in connection with this response or if any fee has been overpaid, the deficiency or overpayment should be charged to our Deposit Account No. 50-0552.

05/01/2003 AOSMAN1 0000006 09534466

01 FC:1806

180.00 OP

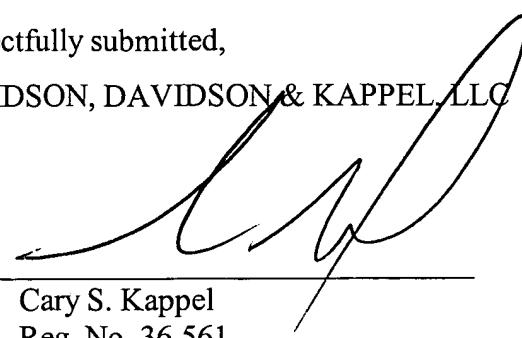
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It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record. It is respectfully submitted that the pending claims are patentable over all of the references made of record at this time.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By: 

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| FORM PTO-1449<br>(REV. 7-80)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |    |    |    |   |   |   | ATTY. DOCKET NO.: 600.1033  |                  | SERIAL NO.: 09/534,466 |           |                            |    |
|---|----|--|----|----|----|---|---|---|-----------------------------|------------------|------------------------|-----------|----------------------------|----|
| LIST OF PRIOR ART CITED BY APPLICANT<br><i>OIA</i><br>(Use several sheets if necessary)<br>APR 3 0 2003<br>TRADEMARK OFFICE   |    |  |    |    |    |   |   |   | APPLICANT(S): ALBERT et al. |                  |                        |           |                            |    |
|   |    |  |    |    |    |   |   |   | FILING DATE: 03/24/2000     |                  | GROUP: 2854            |           |                            |    |
| U.S. PATENT DOCUMENTS   |    |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
| *EXAMINER INITIAL   |    | DOCUMENT NUMBER  |    |    |    |   |   |   | DATE                        | NAME             | CLASS                  | SUB-CLASS | FILING DATE IF APPROPRIATE |    |
|   | AA | 20   | 01 | 00 | 27 | 7 | 3 | 1 | Oct. 11, 01                 | Schramm          | 101                    | 216       |                            |    |
|   | AB | 5  | 9  | 9  | 6  | 4 | 9 | 2 | Dec. 7, 99                  | Jurkewitz et al. | 101                    | 228       |                            |    |
|   | AC |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AD |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AE |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AF |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AG |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AH |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AI |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
| FOREIGN PATENT DOCUMENTS  |    |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   |    | DOCUMENT NUMBER  |    |    |    |   |   |   | DATE                        | COUNTRY          | CLASS                  | SUB-CLASS | TRANSLATION                |    |
|   |    |  |    |    |    |   |   |   |                             |                  |                        |           | YES                        | NO |
|   | AJ | 1  | 1  | 3  | 6  | 2 | 5 | 8 | Sep. 26, 01                 | EP - Europe      |                        |           | see US 20010027731         |    |
|   | AK | 19   | 7  | 1  | 2  | 6 | 8 | 9 | Oct. 1, 98                  | DE - Germany     |                        |           | see US 5,996,492           |    |
|   | AJ |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AK |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AL |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AM |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AN |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AO |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AP |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
| OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)  |    |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AR |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AS |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
|   | AT |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |
| EXAMINER  |    |  |    |    |    |   |   |   |                             | DATE CONSIDERED  |                        |           |                            |    |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |    |    |    |   |   |   |                             |                  |                        |           |                            |    |